

Urban River Reserve Potentials as Passive Cooling Strategies

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Abstract. In Malaysia, urban riparian refers to river reserve that reflects green space. As surviving greenery space amidst concrete jungle, urban riparian is essential for safe-keeping the river health, the surrounding environment, and the well-being of urbanites. The existing developments found by the Malaysian riversides are already in place prior to river reserves establishment, thus constricting expansion and synchronisation due to its guidelines applied in isolation within several related agencies. Prior studies have highlighted the potential of riparian vegetation as a viable method that lowers the temperature within urban space. Hence, this paper probes into the potential of river reserve as a passive cooling strategy by determining the vegetation technical requirements from the light of urban river physical planning and design guidelines in Malaysia. Thus, this study outlines the possibility of integration by comparing vegetation requirements for river buffer with vegetation function and ability in altering radiation, as well as heat transfer, through physical attributes. By focusing on vegetation physical structure, the riparian area can attain multiple functions and benefits. Thus, this finding serves as a basis for integration of passive cooling strategies with vegetation requirements for urban river reserve, and for further studies that investigate other essential physical attributes.

1 Introduction

It is undeniable that the increasing population of humanity has an impact on the urban environment. One effective way to minimise the impact is by preserving and conserving urban vegetation. Urban vegetation has a significant role that has been proven to be a major strategy in mitigating river pollution [1, 2, 3], apart from enhancing the conditions of urban thermal [4, 5]. Within the Malaysian context, urban riparian is also termed as river reserve that has been reckoned as green space. In its function as surviving greenery in an urban area [6], urban riparian has essential roles in the river health, the surrounding environment, and

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the well-being among urbanites. The existing developments found along the Malaysian riversides have been in place prior to establishment of river reserves [7], thus leading to expansion constraint. Furthermore, the rising concern and issues related to the river conditions in Malaysia have been long discussed [8, 9, 10, 11], and improvement of the overall urban rivers is yet to be realised. Therefore, by adopting the best possible solution to the constricted urban riparian space in light of its vegetation structure, two necessities could be tackled at once, apart from maximising the benefits that may be reaped from this limited strip of land.

As such, the government has to revise the existing regulations that control river pollution and riverfront developments in Malaysia, so as to aid the government facilitate, control, and enforce the best practice for riverfront developments in Malaysia towards achieving successful river management and riverfront outcomes [12, 13, 14]. Additionally, past studies have emphasised the potential of riparian vegetation as an effective strategy to decrease the rising temperatures in urban areas [15, 16, 17]. With that, this paper determined the potential of urban river reserve vegetation as a passive cooling strategy [18, 19] by comparing the vegetation technical requirement for river buffer with its physical structure, as well as its ability in altering heat transfer mechanisms. This approach should be able to facilitate riparian area in attaining its multiple functions and benefits, particularly for its vegetation physical structure.

2 Methodology

The method of content analysis had been employed in this study in order to identify the types and the specification of vegetation in terms of function for riparian buffer and thermal reduction. Content analysis refers to a research technique for an objective, systematic, and quantitative description of the manifested content of communication that enables one to study the interpretation of messages from various media, such as books, articles, magazines, reports, speeches, web pages, and other relevant materials [20]. The method of content analysis can be divided into two types; deductive and inductive. The deductive approach focuses on objectivism and existing knowledge that pre-determine the coding extracted from findings in the literature review. On the other hand, the inductive approach places more weight on the subjective aspect, which is qualitative in nature. The content is extracted from open coding to arrive at categories and abstraction [21, 22]. The outcomes only pursue the basic context, thus resulting in similar findings for each document or message [23]. As for this particular study, the analysed content components had been comprised of trees, shrubs, and grass [24]. All vegetation technical requirements and statements, which are relevant to urban river physical planning and design guidelines in Malaysia [25], were identified and listed. The guidelines were retrieved from the Malaysian Department of Irrigation and Drainage (DID), the Malaysian Ministry of Natural Resources and Environment (NRE), National Landscape Department (NLD), and Town and Country Planning Department (TCPD) for Peninsular Malaysia.

This paper, hence, lists the vegetation types and specification that can minimise outdoor thermal, which refers to a passive cooling strategy [18, 19]. For both guidelines and published articles, all general statements that did not specify vegetation types or specification (e.g. area, height, density, and form), as well as all biodiversity requirements, had been excluded from this study. The relevant research materials were collected from the Web of Science and SCOPUS databases. As a result, the materials retrieved consisted of five riparian-related guidelines and twelve articles from 1991 to 2017. Although the sampling seems small, Uribe and Manzur [26] asserted that a sampling size that ranges between 6 and 12 is sufficient for a content analysis study. Finally, all vegetation functions and benefits were simplified and tabulated to identify the similarities by comparing the

vegetation requirement for river buffer with its ability to alter radiation and heat transfer through its physical attributes. This should be able to facilitate the riparian area towards achieving its multiple functions and benefits, especially from the physical structure of the vegetation.

3 Results and discussion

All the findings and statements associated to trees, shrubs, and grass are tabulated in Table 1. The riparian-related guidelines [27-31] seemed to have more focus on tree-covered areas, along with their width. As for shrubs and grass, the specification emphasised on density, area width, and covered area. Except for grass, the overall height specification for trees and shrubs were omitted for riparian buffer zone requirements. This could probably due to the focus and the objective of the urban riparian buffer, which serves as a mechanism to control non-point source surface run-off and bank stabilisation. Furthermore, from these retrieved guidelines, nine significant functions of urban riparian had been determined, which are: 1) stabilises banks, 2) prevents erosion, 3) traps sediments, 4) filters pollutants and nutrients, 5) provides flood storage and conveyance, 6) slows surface run-off, 7) shades watercourse, 8) recharges groundwater, and lastly, 9) buffers zone (as tabulated in Tables 1 and 2).

Based on the guidelines retrieved, it is indicative that a good urban riparian should consist of the listed functions for the benefit of the river, as well as its water quality. These outcomes also highlight the effects and the advantages of microclimate, which appear to be lacking in the Malaysian urban riparian. In addition, articles that asserted the impact of vegetation types and specification upon thermal reductions [32-43] pointed out the higher importance of tree specification (see Table 2). Tree specifications include tree height, trunk height, canopy width, canopy height, canopy density, and covered area. As for shrubs and grass, their specification is only limited to covered area.

Table 1. Vegetation specifications and their specific functions.

Source	Vegetation Type	Specification	Function	Descriptions / Statements
[27]	Tree	Tree Cover	Offers shade for watercourse /protection	Cutting trees decrease protection. Various types of river management works can provide or protect shady areas, such as planting small trees along riverbanks.
	Grass	Area Width	Buffer Zone / Barrier	Under normal circumstances, a space of 5 m is sufficient (for the river within a city). This space depends on the state of the river involved and the needs of DID. This space should only be open and must be planted with grass as a buffer zone.
	Grass	Covered Area	Stabilises Riverbank / Prevents erosion	If the plants are well-maintained, the creeping roots will bind and reinforce the riverbank. The grass layers planted on the riverbanks will be able to withstand erosion from the stream.
[28]	Tree	Covered Area	Surface run-off / Prevents erosion	Tree (Forest) covered area affects run-off estimation. Tree covers provide long-term stabilisation of soil.
	Tree	Area Width	Stabilises	10-20m width reduces bank erosion.

Source	Vegetation Type	Specification	Function	Descriptions / Statements
			Riverbank / Flood storage and conveyance	20-150m width increases water flow time by interception, resulting in reduced flood peaks.
	Shrub	Area Width	Stabilises Riverbank / Flood storage and conveyance	10-20m width reduces bank erosion. 20-150m width increases water flow time by interception, resulting in reduced flood peaks.
		Density	Surface run-off / Traps Sediments / Filters pollutants / Recharges groundwater	Dense herbaceous buffers with recommended width intercept run-off, trap sediments, remove pollutants, and promote ground water recharge.
		Covered Area	Surface run-off / Prevents erosion	Shrub-covered area affects run-off estimation. Shrubs covers provide long-term stabilisation of soil.
	Grass	Density	Surface run-off / Filters pollutants / Traps Sediments / Flood storage and conveyance / Recharges groundwater	Densely-grassed surface affects travel time of run-off flows, (Horton's Roughness). Dense grass filters mechanism. Dense grass buffers (recommended width) intercept run-off, trap sediments, remove pollutants, reduce flow, and promote groundwater recharge.
		Overall Height	Surface run-off / Filters pollutants / Traps Sediments	Grass cover height affects travel time of run-off flows (Manning Roughness). Short Grass Cover is < 150 mm and Tall Grass Cover \geq 150 mm. Grass height as a factor for sedimentation and water quality treatment.
		Covered Area	Surface run-off / Prevents erosion / Traps sediments	Grass-covered area affects run-off estimation. Grass coverage as a factor in erosion control treatment and in reducing sediment loading.
		Area Width	Stabilises bank / Flood storage and conveyance	10-20m width reduces bank erosion. 20-150m width increases water flow time by interception, resulting in reduced flood peaks.
[29]	Tree	Covered Area	Shade for watercourse / Stabilises bank	Trees provide shade that reduces water temperature. Trees are highly effective in preventing bank failures.
		Area Width	Stabilises bank	10m width (minimum) for bank stabilisation.
	Shrub	Covered	Shade for	Shrubs provide shade to reduce water

Source	Vegetation Type	Specification	Function	Descriptions / Statements
		Area	watercourse / Stabilises bank	temperature. Shrubs are highly effective in stabilising riverbank.
		Area Width	Stabilises bank	10m width (minimum) for bank stabilisation.
	Grass	Area Width	Trap sediments / Filter nutrients / Surface run-off	Highly effective to Trap sediment, Filter sediment-bound nutrients, pesticides, and microbes. Converts surface run-off to uniform, shallow, and sheet flow.
[30]	Tree	Covered area	Shading	Trees that can provide shade.
	Shrub	Covered area	Buffer Zone / Barrier	Shrubs need to be planted closely to produce fencing effect.
		Density	Buffer Zone / Barrier	Shrub (with dense and lush leaf texture) as buffer zone.
	Grass	Area Width	Trap sediment / Filter nutrients, pesticides. and microbes	20m-30m width to control the effects of silt, fertilisation, and insecticides
[31]	Tree	Covered area	Stabilises bank	The trees that suit the river habitat are able to strengthen the riverbank.
[32]	Tree	Height	Reduces solar radiation and Mean Radiant Temperature	Tall trees in deeper urban canyon and vice versa for thermal comfort improvement in urban area.
		Trunk Height	Improves Ventilation	Higher trunk height allows improved ventilation and traffic pollutant dispersion.
		Canopy density	Reduces solar radiation and Mean Radiant Temperature	Less canopy density in deeper urban canyon and vice versa for thermal comfort improvement in urban area.
[33]	Tree	Canopy Height	Influences wind speed	For a measurement point at which the wind speeds were measured at two heights, the ventilation was even worse at the height of 4.5m due to tree crown obstruction, as compared to that at the height of 1.5 m.
		Canopy density	Influences wind speed	Excessively dense planting without considering wind corridor in the park that obstructs air flow and rises temperature at downstream areas.
[34]	Tree	Covered Area	Reduces Surface and	Tree shade reduces surface and global temperatures by up to 19°C and 5–

Source	Vegetation Type	Specification	Function	Descriptions / Statements
			Global Temperatures	7°C, respectively.
	Grass	Covered Area	Reduces Surface Temperature	Grass reduces maximum surface temperature by up to 24°C.
[35]	Tree	Covered Area	Reduces Surface, Global, and Air Temperatures	Trees have the largest effect on surface, global, and air temperatures when compared to any other parameter.
	Grass	Covered Area	Reduces Surface, Global, and Air Temperatures	Exposed grass surface is cooler than hard pavements and has lower global temperature. Shaded grass surfaces are very significantly cooler than the respective exposed surfaces. Grass surfaces in the shade of trees have considerably lower surface, global, and air temperatures.
[36]	Tree	Canopy Density	Reduces Solar Radiation, Reflected Radiation, Air Temperature, and Surface Temperature	<i>M. ferrea</i> L. is more significant as a thermal radiation filter than <i>H. crepitans</i> L., due to the former's denser foliage cover and branching habit. This significant filtration capability reduces terrestrial radiation, cools the ground surfaces by promoting more latent heat, and decreases air temperature.
		Canopy Width	Reduces Solar radiation	Canopies with larger width create more shadow and a wider shaded area.
[37]	Tree	Trunk height	Ventilation	A single isolated tree, especially with a high trunk, may concentrate the airflow below the canopy and improve ventilation near the ground.
		Canopy Height	Reduces solar radiation / Wind Movement	Trees with high canopy near walls and windows provide shade and reduce solar heat gain with relatively small blockage of the wind.
		Canopy Density	Wind Movement	A dense canopy of trees along streets can reduce the street level wind speed significantly.
	Shrub	Covered Area	Wind Movement	Evergreen shrubs along trees prevent wind penetration below the canopy.
	Grass	Covered Area	Wind Movement	Grassy areas pose the least friction (resistance) to airflow and allow the best possible ventilation conditions.
[38]	Tree	Canopy Width	Reduces solar radiation	In a green area with trees, the cooling effect is determined by the amount of canopy shading.
		Covered Area	Reduces solar radiation	Complete shading coverage from the canopy can have an average cooling effect of 3.23 K at all sites.
[39]	Tree	Covered	Reduces	Tree contributes to comfort by

Source	Vegetation Type	Specification	Function	Descriptions / Statements
		Area	Solar Radiation and Reflected Radiation	directly shading a person, by reducing long-wave emission from courtyard surfaces, and by limiting the amount of solar radiation reflected from them.
	Grass	Covered Area	Reduces Reflected Radiation	Grass surface contributes to comfort due to the lower radiative surface temperature and reduced emission of long-wave radiation.
[40]	Tree	Covered Area	Reduces Air Temperature	Areas with mature trees are reaping substantially more microclimate benefits or urban heat island reduction than areas that “just” have young trees, smaller trees, and grassy parks.
[41]	Tree	Covered Area	Reduces Surface Temperature	Trees and shrubs mean maximum soil temperature was 5.7 °C lower than lawn area.
	Shrub	Covered Area	Reduces Surface Temperature	Trees and shrubs mean maximum soil temperature was 5.7 °C lower than lawn area.
[42]	Tree	Covered Area	Reduces Surface Temperature	Shade from trees reduced the temperature of outer building surfaces.
[43]	Tree	Covered Area	Reduces Air Temperature	The air temperatures were reduced by up to 2.27 °C relative to the current condition when the number of trees was increased by 20.23%.

The guidelines outlined by DID [27, 28] highlights the effectiveness of various vegetation specification so as to meet the specific objectives for a riparian buffer zone. For instance, the height of grass cover affects travel time of run-off flows, as specified by ranges: short grass at <150 mm and tall grass at ≥ 150 mm. This aids in specific implementation and maintenance on site to achieve the objective target and purposes. On the other hand, guidelines retrieved from NRE and JLN [29, 30] appear to emphasise more on biodiversity, vegetation species, and aesthetic values, whereas guidelines obtained by JPBD [31] seem to focus more on the overall planning associated to urban river spatial, as well as other probable functions. In addition, the findings reported in [40-41] signify that matured tree substantially enhances thermal surroundings and grass-covered areas do decrease the temperature, but the benefit is maximised if coupled with shading by trees.

Table 2 shows that all types of vegetation do have a significant role as the riparian buffer zone, apart from reducing thermal effect. In this simplified table, all urban riparian guidelines appear to place more focus on the functions of grass and shrubs in a riparian buffer zone. Meanwhile, function of tree are limited to covered area and area width. Although the functions of trees seem to be in scarcity, unlike grass and shrub, more emphasis is given for its detailed physical specification, such as tree trunk height, canopy density, and canopy width. This also illustrates that various vegetation physical aspects do benefit the river health and the local microclimate. Nevertheless, the findings portray that studies, specifically on shrubs, require further investigation in terms of thermal reduction.

Table 2. Vegetation Type, Specification and Function

Function		Vegetation Type and Specification														
		Grass				Shrub			Tree							
		Overall Height	Covered Area	Area Width	Density	Covered Area	Area Width	Density	Tree Height	Trunk Height	Canopy Width	Canopy Height	Canopy Density	Covered Area	Area Width	
Function as Riparian Buffer Zone																
1	Stabilises bank		▲	▲		▲	▲							▲	▲	
2	Prevents erosion		▲			▲								▲		
3	Traps sediment	▲	▲	▲	▲			▲								
4	Filters pollutant, and nutrients.	▲		▲	▲			▲								
5	Provides flood storage and conveyance			▲	▲			▲							▲	
6	Slows surface run-off	▲	▲	▲	▲	▲		▲						▲		
7	Shade for watercourse					▲								▲		
8	Recharges Groundwater				▲			▲								
9	Buffer Zone			▲		▲		▲								
	Source from guideline	28	28 27	28 29 30	28	28 29 30	27 28 29	28 30							27 28 29 30 31	28 29
Function as Thermal Reduction																
1	Reduces solar radiation								■		■	■	■	■		
2	Reduces reflected radiation		■									■	■			
3	Reduces air temperature		■									■	■			
4	Reduces global temperature		■										■			
5	Reduces surface temperature		■			■						■	■			
6	Reduces mean radiant temperature								■			■				
7	Influences wind movement / ventilation		■			■				■		■	■			
	Source from article		34 35 37 39			37 41			32	32 37	36 38	33 37	32 33 36 37	34 35 39 40 41 42		

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