Technical efficiency of semi organic rice farming In Sleman Regency, Special Region of Yogyakarta

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Abstract. The transition from conventional to organic agriculture is semi-organic farming. This study aims to analyze the technical efficiency and the factors that affect the technical inefficiency of semi-organic rice farming. The research was conducted in Sleman Regency, Special Region of Yogyakarta which was selected purposively. The number of respondents was 100 farmers determined by the multistage random sampling method. The Cobb Douglas frontier production function was used to analyze the technical efficiency. The results showed that the production factors that had a positive effect on semi-organic rice production were manure, phonska fertilizer, family workforce and non-family workforce, while the urea fertilizer had a negative effect. Semi-organic rice farming in Sleman Regency is not technically efficient with an average technical efficiency coefficient of 0.518. The age of farmer and land status has a effect on the technical inefficiency of semi-organic rice farming. Semi-organic rice farmers in Sleman Regency should reduce the use of urea fertilizer because it has a negative effect on production.

1 Introduction

The availability of rice in Indonesia can be obtained through three agricultural systems, namely non-organic, organic, and semi-organic farming systems. Non-organic farming system or what is commonly called conventional is an agricultural system which in its production process uses chemicals [1]. The continuous use of chemical inputs in farming can lead to decreased soil fertility, decreased biodiversity and increased pest, disease and weed attacks. Another negative impact is the contamination of agricultural products by chemicals which will have a negative impact on human health [2]. Public awareness of the dangers of chemicals to health and the environment encourages the development of environmentally sound and sustainable agricultural systems. Organic farming systems can be an alternative to environmentally friendly and sustainable farming systems [3]. The environmental benefits obtained by the application of organic farming are that it is easier to

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cultivate the soil and does not cause environmental pollution. Improvement of soil and environment as a result of organic farming can achieve technical efficiency [4].

The development of organic rice in various regions in Indonesia takes a long time. Land used for organic rice cultivation needs special requirements and the process of moving from conventional to organic systems takes 1-3 years [5]. The change from non-organic (conventional) rice farming to organic rice requires a transition period called semi-organic rice farming. The application of semi-organic rice farming includes land cultivation by reducing the dose of chemicals and increasing the dose of organic fertilizer made from nature, killing pests and weeds using biological pesticides and killing diseases using more doses of natural ingredients than chemicals. Semi-organic rice farming is a form of implementation of a sustainable agricultural system that requires farmers to have different and better farming behavior, especially for environmental aspects so that farming can be carried out continuously [6]

The Special Region of Yogyakarta (DIY) is one of the provinces that has launched a program to increase food security through organic agricultural cultivation that focuses on organic rice commodities with the slogan "Go Organic". Sleman Regency is one of the regencies in DIY which is developing rice farming towards organic. The background of the development of organic rice farming is the declining productivity of rice farming land. Rice productivity in 2015 was 65.27 kw/ha, in 2016 it was 61.58ku/ha and in 2017 it was 57.36 kw/ha.[7]. The decrease in productivity indicates a decrease in the level of land fertility, one of which is caused by the excessive use of chemical fertilizers [8].

The demand for organic and semi-organic rice in Sleman Regency tends to increase and farmers have not been able to meet market demand. This situation is due to limited land for cultivation so that production is not maximized. In addition, the means of producing organic fertilizers and pesticides must also be made by the farmers themselves. Organic and semi-organic rice cultivation requires water management to be free from pesticides and chemical mixtures. The existence of these treatments causes the use of more labor [9].

Increasing organic and semi-organic rice production through increasing land area is not easy and takes a long time. Therefore, increasing rice production through efficiency is the right choice. Efficiency is classified into three, namely technical efficiency, allocative efficiency, and economic efficiency. Technical efficiency shows the relative ability of the farm to obtain a certain output by using a certain amount of input at a certain level of technology. Allocative efficiency shows the relative ability of the farm to use inputs to produce outputs at minimum cost conditions or maximum profits at a certain level of technology. Allocative efficiency can be obtained in technically efficient farming conditions.

This study examines the level of technical efficiency of each farmer in semi-organic rice farming, how many farmers are already efficient and the factors that affect inefficiency (inefficient). Studies that have been done on organic or semi-organic rice farming are related to organic rice production and income compared to non-organic ones, such as those conducted by [10] in Sambu District, Boyolali Regency, [11] in Perbaungan District, Serdang Bedagai and [12] Nepal. This study aims to determine the factors that affect production, the level of technical efficiency and the factors that influence the technical inefficiency of semi-organic rice farming in Sleman Regency, Special Region of Yogyakarta.

2 Research Method

The study was conducted in Sleman Regency which was selected purposively based on the consideration that Sleman is the main food barn in the Special Region of Yogyakarta which is able to produce 80 thousand to 100 thousand tons of rice and contributes 35 to 40 percent

of rice. Sleman Regency has the largest paddy field land and the largest number of organic certified rice farmer groups [13]. A farmer group can have organic certification if it has been running its organic farming for more than 3 years.

The research sample was taken using the Multistage Sampling method. This method is used when members of the population are spread over a large area. Sampling using the Multistage Sampling method was carried out through the following stages: purposive selection of district samples namely Sayegan and Godean with the consideration that the two districts were developing rice farming towards organic. Sayegan and Godean districts have farmer groups whose members are semi-organic rice farmers, namely Manunggal Karsa, Sri Rejeki, Ngudi Makmur 2, Makmur Baru, Tegal Mulyo, Guyup Rukun, Dadi Makmur and Ngudi Rahayu farmer groups. The number of members from 8 farmer groups who are trying to grow semi-organic rice is 161 and 100 farmers are taken based on the simple random sampling method.

The types of data used in this research are primary data and secondary data. Primary data is data obtained directly from the source or firsthand through interviews with questionnaires. The primary data in this study include the identity of farmers, the use of production facilities and labor, prices, and production results. Secondary data is data obtained by documenting existing data in related agencies or institutions, including the Central Statistics Agency and UPT BP4 for the Regions of Godean and Seyegan Districts. The secondary data include data on members of farmer groups, production data, and data on regional conditions.

The technical efficiency of semi-organic rice farming was analyzed using the Cobb-Douglas Frontier production function as developed by [14]. The stochastic frontier production function has the Cobb-Douglass form which is transformed in a linear natural logarithm mathematically written as follows:

$$\text{LnY} = \text{Ln } \alpha_0 + \alpha_1 \text{LnX}_1 + \alpha_2 \text{LnX}_2 + \alpha_3 \text{LnX}_3 + \alpha_4 \text{LnX}_4 + \alpha_5 \text{LnX}_5 + \alpha_6 \text{LnX}_6 + \alpha_7 \text{LnX}_7 + (\text{vi}-\text{ui}) \quad (1)$$

Description:

Y = Result rice production (kg)

α_0 = Constant

α_{1-7} = Coefficient

X_1 = Land area (m²)

X_2 = Seeds (kg)

X_3 = Manure (kg)

X_4 = Urea Fertilizer (kg)

X_5 = Phonska Fertilizer (kg)

X_6 = Family workforce (work day)

X_7 = Non-family workforce (work day)

vi = Error (disturbance term)

ui = The inefficiency effect that appears

Technical efficiency is measured using the formula:

$$\text{TE}_i = \frac{Y_i}{Y_i^*} = E[\exp(-U_i/\epsilon_i)] \quad (2)$$

Description :

TE_i = Farmer's technical efficiency i, with values ranging from 0 to 1

Y_i = Output produced by farmers to i

i = 1, 2, 3, ..., 100

The value of technical efficiency is between 0 until 1. The efficiency value of farmers can be categorized as quite efficient if it is 0.7 and not efficient if it is < 0.7 [15]. Variable U_i is a random variable that describes technical inefficiency in production related to internal factors. If the value of U_i is getting bigger, then the inefficiency of farming is also getting bigger. Factors that are thought to affect rice production inefficiency are farmer's age (Z_1), and land status (Z_2). Mathematically the distribution value of the inefficiency effect (U_i) can be written as follows:

$$U_i = \delta_0 + \delta_1 Z_1 + \delta_2 Z_2 \quad (3)$$

Description:

where U_i = value of technical inefficiency

δ_0 = constant

δ_1 and δ_2 = coefficient

Z_1 = Farmer's age

Z_2 = Land status

Testing of the factors that affect technical inefficiency partially uses the t test with the hypothesis written as follows:

$H_0 = b_i = 0$ means that the internal factor of the i-th farmer does not affect the level of technical inefficiency of semi-organic rice farming.

$H_a = b_i \neq 0$ means that the internal factors of the i-th farmer affect the level of technical inefficiency of semi-organic rice farming.

Decision-making:

If t count $>$ t table; then H_0 is rejected and H_a is accepted, meaning that the internal factors of the i-th farmer affect the level of inefficiency of semi-organic rice farming

If t count $<$ t table; then H_0 is accepted and H_a is rejected, meaning that the internal factors of the i-th farmer have no effect on the level of inefficiency of semi-organic rice farming

3 Results and Discussion

3.1 Semi-organic rice farmer profile

The semi-organic rice farmers used as respondents are farmers who live in Godean and Sayegan Districts, Sleman Regency and are members of farmer groups. Based on age, semi-organic rice farmers are classified as old farmers with an average age of 60 years. The relatively old age of farmers does not prevent farmers from cultivating semi-organic rice. Work that is quite heavy, such as land processing, planting, and harvesting, will be assisted by workers outside the family. The condition of the age of semi-organic rice farmers in Sleman is older than the age of organic rice farmers in Bantul Regency [16] and Nepal [12]. The education level of the majority of semi-organic rice farmers (61%) is Elementary School and Junior High School. This condition is no different from the education level of organic rice farmers in West Bandung Regency where the majority have elementary and junior high school education [6].

Farmers experience in farming on average 31 years, with a minimum experience of 2 years and a maximum of 70 years. This is a long time in which farmers have been very understanding, the right way to cultivate rice. This is in line with [17] that the length of farming affects farmers' skills in increasing production yields. Based on the land area, 50%

of the farmers cultivate semi-organic rice with a land area of less than 3,000 m² and the average area of arable land is 3,506. The land cultivated does not only belong to the farmers, but most of them belong to other people who are rented or share the profit. The profit-sharing system that is widely used by farmers is that all production costs are borne by the cultivator, each land owner and cultivator gets a 50% share of the results. The land used for semi-organic rice farming in Sleman Regency is narrower than in Sragen Regency, which is 4,600 m² [18].

Table 1. Profile of semi-organic rice farmers in Sleman Regency

Description	Number (person)	Percentage (%)
Age (years)		
34 - 48	15	15
49 - 63	46	46
64 - 78	33	33
79 - 93	6	6
Education		
Elementary school	41	41
Junior high school	20	20
High school	37	37
College	2	2
Farming Experience (years)		
2 - 11	16	16
12 - 21	23	23
22 - 31	14	14
32 - 41	23	23
42 - 51	10	10
52 - 61	9	9
62 - 71	5	5
Land Area (m²)		
< 1,000	17	17
1,000 – 1,999	17	17
2,000 – 2,999	17	17
3,000 – 3,999	10	10
4,000 – 4,999	11	11
>5,000	28	28
Land Status		
One's own	31	31
Rent	4	4
Profit sharing	43	43
Own & rent	8	8
Own & profit sharing	14	14
Own, rent & profit sharing	1	1

The production of semi-organic rice in Sleman Regency averages 1,161 kg for a land area of 3,506 m² or 3.3 tons/ha of dry milled grain. This semi-organic rice production is lower than organic rice production in Boyolali Regency [19] and Maros Regency [20]. The seeds used by farmers include Ciherang, Mekongga, Logawa, Cianjur and IR 42 with an average use of 15 kg. The average manure used by farmers is 370 kg, which comes from cow and chicken manure. The use of manure is in the low category, because the dose of manure should be 2 tons/ha [19]. In addition to manure, semi-organic rice farmers still use chemical fertilizers, namely urea fertilizer with an average of 73 kg and Phonskha fertilizer of 43 kg. The use of chemical fertilizers is 30% of the use of manure. The workers used by farmers are in the form of family workers with an average use of 19.78 work days and 7.37 work days non family workforce.

Table 2. Production and level of use of production factors in semi-organic rice farming in Sleman Regency.

Production/Factor of production	Average	Maximum value	Minimum value
Production (kg)	1,161.12	8,400	25
Land area (m ²)	3,506	15,000	200
Seed (kg)	15	50	0.5
Manure (kg)	370	3,000	10
Urea fertilizer (kg)	73	300	0
Phonskha fertilizer (kg)	43	300	0
Family workforce (work day)	19.78	97.19	1.5
Non family workforce (work day)	7.37	34	1.6

3.2. Production Function

The production function used in this study is a production function of cobb douglass frontier with the dependent variable being semi-organic rice production and the independent variables include land, seeds, manure, urea fertilizer, phonskha fertilizer, the family workforce and non-workforce.

The results of the analysis show that pin organic rice farming the MLE log likelihood value (- 73.303) is greater than the OLS log likelihood (-98.014), meaning that in semi-organic rice farming the production function with the MLE method is better and in accordance with the conditions in the field. Sigma-square value of semi-organic rice 1.174 shows that the term error of semi-organic rice inefficiency is not normally distributed [15]. The gamma value for organic rice 0.999 is statistically different from null which means that the variation in production in semi-organic rice farming occurs due to inefficiency factors. In semi-organic rice farming in Sleman Regency, the production factors that have a significantly positive effect are manure, phonskha fertilizer, the family workforce and non-family workforce, while the significant negative effect is urea fertilizer. Land and seed production factors have no significant effect.

Table 3. Factors affecting to the production of semi-organic rice in Sleman Regency

Variable	Coefficient	T-value
Constant	2.977*	1.290
Land area	0.173 ^{ns}	0.422
Seed	-0.052 ^{ns}	-0.144
Manure	0.072*	1.363
Urea fertilizer	-0.090***	-5.090
Phonska fertilizer	0.045**	1.680
Family workforce	0.852***	5.604
Non-family workforce	0.401***	2.494
Sigma square	1.174	3.465
Gamma	0.999	1,619.142

Description: ns = non-significant

- * = significant at 10%
- ** = significant at 5%
- *** = significant at 1%

The land production factor has a regression coefficient of 0.173 and has no significant effect, meaning that if land use is added and other factors remain, there is a tendency for semi-organic rice production to increase. The same situation also occurs in Vietnam, where land does not significantly affect rice production [21], while in organic rice farming in

Lampung province, land has a significant effect [22]. It is possible to add land by replacing non-organic rice plants with semi-organic or organic ones. The number of organic or semi-organic rice farmers in Sleman Regency is only around 10% [23] and most of the farmers still grow non-organic rice.

The seed regression coefficient is -0.052 and is not significant, meaning that if the use of seeds is added and other factors remain, there is a tendency to reduce semi-organic rice production. Different things happen to organic rice farming in Nigeria, where seeds have a significant effect on rice production [24]. Semi-organic rice farmers in Sleman use a spacing of 20 x 20 cm and is the standard spacing. If the use of seeds is added it will inhibit growth and development because it is too tight.

The manure production factor has a regression coefficient of 0.072 and is significant, meaning that if the use of manure is added by 1% and other factors remain, the semi-organic rice production will increase by 0.072%. Manure is a staple fertilizer in semi-organic and organic rice farming because it contains elements of N, P, K and C with a ratio between C and N of about 40% which is needed by rice plants [25], besides that, manure also serves to improve the properties of physics, chemistry and soil biology [26]. The right use of manure on rice plants can increase production as according to [27] applying 5 tons/ha of manure to rice plants can increase dry grain yields by 10%.

The regression coefficient for urea fertilizer is -0.090 and is significant, which can be interpreted if the use of urea fertilizer is added by 1% and other factors remain, the rice production will decrease by 0.090%. Urea fertilizer is a chemical fertilizer containing the element N which is needed for the growth of rice plants. The application of urea fertilizer can increase plant height, number of tillers and production of rice plants [28].

Phonskha fertilizer has a regression coefficient of 0.045 and is significant, it can be interpreted that if the use of Phonskha fertilizer is added by 1% and other factors remain, the production of semi-organic rice will increase by 0.045%. Phonskha fertilizer is a compound chemical fertilizer containing N, P and K elements. Phonska fertilizer application will increase the availability of NPK elements in a fairly balanced amount, to meet plant needs for these nutrients, and result in increased dry grain production. in real terms [29].

The regression coefficient of labor in the family is 0.852 and it is significant that if the workforce in the family is increased by 1% and other factors remain, the semi-organic rice production will increase by 0.852%. Non family workforce have a regression coefficient of 0.401 and have a significant effect on semi-organic rice production, meaning that if non-family workforce are increased by 1% with other factors remaining, semi-organic rice production will increase by 0.401%. This shows that workers outside the family are processing land, planting and harvesting. This situation is similar to [18] on organic rice farming in Sragen, labor has a significant effect on production with a positive regression coefficient.

3.3. Technical efficiency

Based on production function analysis stochastic frontier the level of technical efficiency can be known. Farming is said to be efficient if the technical efficiency index value is greater than 0.7 [14]. The average value of the technical efficiency index of semi-organic rice farming in Sleman Regency is 0.518, which means it is not efficient. As many as 25% of farmers have actually been efficient in farming, but 75% of farmers have not been efficient.

Table 4. The distribution of technical efficiency level of semi-organic rice farming in Sleman Regency

Category	Amount (person)	Percentage (%)
0.000-0.100	0	0
0.101-0.200	6	6
0.201-0.300	17	17
0.301-0.400	11	11
0.401-0.500	10	10
0.501-0.600	16	16
0.601-0.700	15	15
0.701-0.800	7	7
0.801-0.900	6	6
0.901-1,000	12	12
Mean Technical Efficiency	0.518	
Total Respondents	100.00	
Minimum value	0.115	
Maximum value	0.997	

The technical efficiency index value of semi-organic rice farming in Sleman is lower than that of organic rice farming in Sragen Regency, namely 0.7 [18], lower than organic rice farming in Tasikmalaya Regency of 0.86 [30], more smaller than lowland rice farming in the Integrated Crop Management program in Riau Regency is 0.87 [31] and more smaller than rice farming in rainfed lawland in Maros Regency [20].

Semi-organic rice farmers in Sleman Regency still use chemical fertilizers, namely urea and NPK phonska fertilizers in large quantities, that are 30% of the use of organic fertilizer (manure). Farmers use urea and phonska fertilizers with the aim that the availability of chemical elements N, P and K in the soil is balanced so that plant growth is optimal, increasing the number of tillers and rice production [29].

The analysis uses the stochastic frontier production function in addition to knowing the level of efficiency; it can also determine the factors that affect inefficiency. In semi-organic rice farming the variables of farmer’s age and land status are included in the model, and all factors have a significant effect on technical inefficiency.

Table 5. Factors contributing to semi-organic rice technical inefficiency

Variable	Organic rice	
	Coefficient	T-value
Constant	0.707	0.567
Farmer’s a,ge	-0.185***	-2.321
Land status	-0. 518**	-1,708

Description: ns = non-significant
*** = significant at = 1%
** = significant at = 5%

The farmer age variable has a coefficient of -0.185 and is significant, meaning that the older the farmer, the lower the level of inefficiency in semi-organic rice farming, in other words, the more efficient the farm. As many as 25% of farmers who have been efficient, the majority (more than 80%) are over 55 years old. The older the farmer, the more experience in farming, so that he can determine the most profitable cultivation methods. This situation is in line with research [32] in Bantul Regency and [24] in Nigeria where age has a negative effect on the inefficiency coefficient, but it is different from the results of [33] in Indonesia where age has a positive coefficient.

Land status has a coefficient of -0.518 and is significant, it can be interpreted that the status of owned land can reduce level of technical inefficiency, in other words, farmers with

own land status are more efficient than land rent status or profit sharing. Farmers who work on their own land are 32% and those who use land rent or profit sharing are 68%. This is in line with the research of [33] where land status affects technical inefficiency with a negative coefficient.

4 Conclusions and Suggestions

Factors that have a positive effect on semi-organic rice production in Sleman Regency are manure, phonskha fertilizer, family workforce and non-family workforce, while the factor that has a negative influence is urea fertilizer. Semi-organic rice farming has not been technically efficient with an average efficiency index of 0.518. Farmer age and land status are factors affecting the technical inefficiency of semi-organic rice farming.

Semi-organic rice farmers in Sleman Regency should reduce the use of urea fertilizer because it has a negative effect on production. Farmers can replace urea fertilizer with manure which is cheaper and easier to obtain.

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